

Revision:

SUPPLEMENT 1 TO ATTACHMENT 3.1-A

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: VERMONT

CASE MANAGEMENT SERVICES

A. Target Group: Mentally ill recipients with long term mental illness. Beneficiaries receiving Community Rehabilitation and Treatment (CRT) services under the 1115 waiver are ineligible for this State Plan service.

B. Areas of State in which services will be provided:

☒ Entire State

☐ Only in the following geographic areas (authority of section 1915 (g)(1) of the Act is invoked to provide services less than statewide.

C. Comparability of Services

☐ Services are provided in accordance with section 1902(a) (10)(B) of the Act.

☒ Services are not comparable in amount, duration, and scope. Authority of section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of section 1902(a)(10)(B) of the Act.

D. Definition of Services:

Purpose: The purpose of case management is to assist individuals in gaining access to needed medical, social, educational and other services.

Services:

- 1) Treatment Planning: to facilitate the assessment of client's (and where appropriate, family's) strengths, deficits and need for services and the development of a comprehensive service plan with active client involvement and collaboration with appropriate agencies.
- 2) Service Coordination/Referral: Activities required to link client/family to services specified in the plan; consultation to providers and mutual/natural support systems.

TN# 99-6
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TN# 93-15

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Approval Date: 7/19/99

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Vermont

CASE MANAGEMENT SERVICES (Continued)

D. Definition of Services: (Continued)

Services:

- 3) Monitoring: Assuring that the client/family is receiving services and that services continue to be needed and appropriate; includes client's/family's evaluation of service; evaluates progress toward meeting objectives of service plan.
- 4) Advocacy: Activities with the client/family and providers for the purpose of gaining access to needed services and entitlements and modifying service systems to increase accessibility and appropriateness with mental health problems.

E. Qualification of Providers:

"Qualified professional staff" is any person who, based on their education, training or experience, is approved by the community mental health center Clinical and Executive Directors. All case managers will be salaried or contractual employees of community mental health centers that contract with the Department of Mental Health to provide case management services.

F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902 (a)(23) of the Act.

1. Eligible recipients will have free choice of the providers of case management services.
2. Eligible recipients will have free choice of the providers of other medical care under the plan.

G. Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

H. Authority: The single state agency, The Agency of Human Services, retains all authority to designate appropriate case management providers.

07/19/94

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT
State: Vermont

CASE MANAGEMENT SERVICES (Continued)

- A. Target Group: Persons with mental retardation who are unable to access needed medical, social, educational and other services because of adaptive deficits due to their level of handicap. Individuals who lack the active assistance of a family member or other interested person to assist them in accessing needed services. These individuals may reside with their natural families, in individualized residential settings, or licensed and unlicensed community care homes, which do not receive funding from Medicaid.
- B. Areas of the State in Which Services Will be Provided:
- (x) Entire State
- () Only in the following geographic areas (authority of Section 1915(g)(1) of the Act is invoked to provide services less than statewide.)
- C. Comparability of Services:
- () Services are provided in accordance with Section 1902(a)(10)(B) of the Act.
- (x) Services are not comparable in amount, duration, and scope. Authority of Section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of Section 1902(a)(10)(B) of the Act.

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TN#: 88-8

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Vermont

CASE MANAGEMENT SERVICES (Continued)

D. Definition of Services:

Purpose - The purpose of case management is to assist individuals in gaining access to needed medical, social, educational, and other services. Emphasis will be placed on assisting individuals in accessing services which are non-Medicaid in nature.

Services -

1. Community Integration: Developing increased opportunities for community access and involvement, including, but not limited to: community living skills teaching, vocational, civic, and recreational services to reduce reliance on federally-funded programs.
2. Service Coordination/Referral: Activities required to link the client/family to services specified in the Individual Program Plan; consultation to providers, development of mutual/natural support systems, and facilitate access to community activities and services.
3. Monitoring: Assuring that the client/family is receiving services and that services continue to be needed and appropriate. This includes the client's/family's evaluation of services and evaluation of progress toward meeting objectives of the service plan.
4. Advocacy: Activities with the client/family and providers for the purpose of gaining access to needed services and entitlements and modifying service systems to increase accessibility and appropriateness for people with mental retardation.

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT
State: Vermont

CASE MANAGEMENT SERVICES (Continued)

- E. Qualifications of Providers: Qualified professional staff is any person who, based on their education, training and experience, is approved by the Executive or Mental Retardation Director of a community mental health center or the Director of the state Division of Mental Retardation.
- F. Freedom of Choice: The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of Section 1902(a)(23) of the Act.
1. Eligible recipients will have free choice of the providers of case management services.
 2. Eligible recipients will have free choice of the providers of other medical care under the Plan.
- G. Duplication of Payments: Payment for case management services under the Plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.
- H. Authority: The single state agency, The Agency of Human Services, retains all authority to designate appropriate case management providers.

TN#: 93-22

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT
State: Vermont

CASE MANAGEMENT SERVICES (Continued)

D. Definition of Services:

Purpose - The purpose of case management is to assist individuals in gaining access to needed medical, social, educational, and other services.

Services -

1. Intake/assessment: Identifying the child's medical, social, educational and other conditions and needs through in-person contact with the child and his/her family, and where appropriate, consultation with educational and medical service providers.
2. IEP/IFSP Development: Developing with the child, his/her family, and appropriate service providers an individualized plan which describes the services identified through the assessment process and sets out a plan to provide these services.
3. Coordination/Advocacy: Facilitating the child's access to the services identified in the IEP/IFSP. The case manager may advocate on behalf of the child for appropriate community resources and coordinate the multiple providers of social, educational and health services defined in the IEP/IFSP.
4. Monitoring: Ensuring that the child's IEP/IFSP is implemented and assessing the child's progress towards meeting its objectives.
5. Evaluation: Determining whether the care plan is appropriate, whether a new or revised plan is necessary, or whether services should be terminated.

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT
State: Vermont

CASE MANAGEMENT SERVICES (Continued)

- E. Qualifications of Providers: Qualified case managers are those providers who, based on their education, training and experience, have been designated as such by either the Agency of Human Services or the Department of Education.
- F. Freedom of Choice: The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of Section 1902(a)(23) of the Act.
1. Eligible recipients will have free choice of the providers of case management services.
 2. Eligible recipients will have free choice of the providers of other medical care under the Plan.
- G. Duplication of Payments: Payment for case management services under the Plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Vermont

CASE MANAGEMENT SERVICES (Continued)

A. Target Group:

- 1) Families whose children are abused or neglected or suspected of being at imminent risk thereof;
- 2) Children and adolescents who are in the care or custody of the Department of Social and Rehabilitation Services or of an agency in another state and placed in Vermont; and,
- 3) Families of children receiving post adoption assistance.

B. Areas of the State In Which Services Will be Provided:

(x) Entire State

() Only in the following geographic areas (authority of Section 1915(g)(1) of the Act is invoked to provide services less than statewide.)

C. Comparability of Services:

() Services are provided in accordance with Section 1902(a)(10)(B) of the Act.

(x) Services are not comparable in amount, duration, and scope. Authority of Section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of Section 1902(a)(10)(B) of the Act.

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Supersedes

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Vermont

CASE MANAGEMENT SERVICES (continued)

D. Definition of Services:

Purpose - The purpose of case management is to assist individuals in gaining access to needed medical, social, educational, and other services.

Services -

Case study and assessment: to facilitate the collection and assessment of information regarding the child, family and other relevant individuals, to determine the nature of the individual and family problems and to identify the services required to resolve/alleviate the problems.

Case Plan Development and Implementation: to facilitate the development of a case plan, including medical and mental health components in accordance with the policy, procedure and regulation of the Department of Social and Rehabilitation Services and consistent with Medicaid requirements.

Case Supervision: to monitor the implementation of the case plan, to arrange for support services to maintain individuals in their home or in substitute care and to monitor the child and families' progress toward the goals and objectives established in the case plan.

Advocacy: to negotiate and coordinate activities on behalf of children and families to enable them to obtain otherwise inaccessible or unavailable medical, social, educational or other necessary services.

Placement: to facilitate the assessment of client placement needs, the selection of appropriate placement, preparation of client and family, coordination and accomplishment of placement.

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Vermont

CASE MANAGEMENT SERVICES (Continued)

- E. Qualified Provider: Qualified case managers are those providers who, based on their education, training and experience, have been designated as such by either the Agency of Human Services or the Department of Social and Rehabilitation Services.
- F. Freedom of Choice: The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of Section 1902(a)(23) of the Act.
1. Eligible recipients will have free choice of the providers of case management services.
 2. Eligible recipients will have free choice of the providers of other medical care under the Plan.
- G. Duplication of Payments: Payment for case management services under the Plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.
1. The payment rate for this service will be established in accordance with the methodology identified in the approved cost allocation plan and will not duplicate payment from any other State Plan section. Additionally, payment for this service is not available for inmates of public institutions or prisons.

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